

and present, as parents spoke of their childhood experiences and then later described similar experiences they had shared with their children. Implicit linking was also evidenced in the reading of stories, as some of the parents found that the stories they shared with their children were stories they had listened to when they were young.

In addition to the implicit linking of the past with the present, many ties were made directly as the memories of the parents were juxtaposed with the present-day experiences of the children. On such occasions, the parents were deliberately intent upon providing alternate experiences for their children. In considering the present data, when the parents were intent on change, the course of action they chose was closely related to their need to provide for their children experiences they had missed. Thus the interplay of the individual biographies and educative styles of the parents becomes the dominant factor in shaping the literate experiences of the children within the home. And yet, from the very beginning, the children are active and reactive in the sharing of literate experiences with their parents. Dewey speaks of the continuous alteration of such patterns by children as 'unconscious and unintended'. He speaks of immature and undeveloped activity succeeding in modifying 'adult organized activity accidentally and surreptitiously' (1922, p. 92). Undoubtedly, each child brings a new dimension to the transmission of literacy style and values within the family.

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## 6 What No Bedtime Story Means: Narrative Skills at Home and School

SHIRLEY BRICE HEATH

In the preface to S/Z Roland Barthes' work on ways in which readers read, Richard Howard writes: 'We require an education in literature . . . in order to discover that *what we have assumed* — with the complicity of our teachers — *was nature is in fact culture, that what was given is no more than a way of taking*' (emphasis not in the original; Howard 1974: ix).<sup>1</sup> This statement reminds us that the *culture* children learn as they grow up is, in fact, 'ways of taking' meaning from the environment around them. The means of making sense from books and relating their contents to knowledge about the real world is but one 'way of taking' that is often interpreted as 'natural' rather than learned. The quote also reminds us that teachers (and researchers alike) have not recognized that ways of taking from books are as much a part of learned behavior as are ways of eating, sitting, playing games, and building houses.

As school-oriented parents and their children interact in the pre-school years, adults give their children, through modelling and specific instruction, ways of taking from books which seem natural in school and in numerous institutional settings such as banks, post offices, businesses, or government offices. These *mainstream* ways exist in societies around the world that rely on formal educational systems to prepare children for participation in settings involving literacy. In some communities these ways of schools and institutions are very similar to the ways learned at home; in other communities the ways of school are merely an overlay on the home-taught ways and may be in conflict with them.<sup>2</sup>

Yet little is actually known about what goes on in story-reading and other literacy-related interactions between adults and preschoolers in communities around the world. Specifically, though there are numerous diary accounts and experimental studies of the preschool reading experiences of mainstream middle-class children, we know little about the specific literacy features of the environment upon which the school expects to draw. Just

how does what is frequently termed 'the literate tradition' envelope the child in knowledge about interrelationships between oral and written language, between knowing something and knowing ways of labelling and displaying it? We have even less information about the variety of ways children from non-mainstream homes learn about reading, writing, and using oral language to display knowledge in their preschool environment. The general view has been that whatever it is that mainstream school-oriented homes have, these other homes do not have it; thus these children are not from the literate tradition and are not likely to succeed in school.

*def. of lit. events*  
A key concept for the empirical study of ways of taking meaning from written sources across communities is that of literacy events; occasions in which written language is integral to the nature of participants' interactions and their interpretive processes and strategies. Familiar literacy events for mainstream preschoolers are bedtime stories, reading cereal boxes, stop signs, and television ads, and interpreting instructions for commercial games and toys. In such literacy events, participants follow socially established rules for verbalizing what they know from and about the written material. Each community has rules for socially interacting and sharing knowledge in literacy events.

This paper briefly summarizes the ways of taking from printed stories families teach their preschoolers in a cluster of mainstream school-oriented neighborhoods of a city in the Southeastern region of the United States. We then describe two quite different ways of taking used in the homes of two English-speaking communities in the same region that do not follow the school-expected patterns of bookreading and reinforcement of these patterns in oral storytelling. Two assumptions underlie this paper and are treated in detail in the ethnography of these communities (Heath 1983):

- (1) Each community's ways of taking from the printed word and using this knowledge are interdependent with the ways children learn to talk in their social interactions with caregivers.
- (2) There is little or no validity to the time-honored dichotomy of 'the literate tradition' and 'the oral tradition'.

This paper suggests a frame of reference for both the community patterns and the paths of development children in different communities follow in their literacy orientations.

### Mainstream School-Oriented Bookreading

Children growing up in mainstream communities are expected to develop habits and value which attest to their membership in a 'literate society'. Chil-

dren learn certain customs, beliefs, and skills in early enculturation experiences with written materials: the bedtime story is a major literacy event which helps set patterns of behavior that recur repeatedly through the life of mainstream children and adults.

*coll. m. n.*  
In both popular and scholarly literature, the 'bedtime story' is widely accepted as a given — a natural way for parents to interact with their child at bedtime. Commercial publishing houses, television advertising, and children's magazines make much of this familiar ritual, and many of their sales pitches are based on the assumption that in spite of the intrusion of television into many patterns of interaction between parents and children, this ritual remains. Few parents are fully conscious of what bedtime storyreading means as preparation for the kinds of learning and displays of knowledge expected in school. Ninio & Bruner (1978), in their longitudinal study of one mainstream middle class mother-infant dyad in joint picture-book reading, strongly suggest a universal role of bookreading in the achievement of labelling by children.

In a series of 'reading cycles', mother and child alternate turns in a dialogue: the mother directs the child's attention to the book and/or asks what-questions and/or labels items on the page. The items to which the what-questions are directed and labels given are two-dimensional representations of three-dimensional objects, so that the child has to resolve the conflict between perceiving these as two-dimensional objects and as representations of a three dimensional visual setting. The child does so 'by assigning a privileged, autonomous status to picture as visual objects' (1978: 5). The arbitrariness of the picture, its decontextualization, and its existence as something which cannot be grasped and manipulated like its 'real' counterparts is learned through the routines of structured interactional dialogue in which mother and child take turns playing a labelling game. In a 'scaffolding' dialogue (cf. Cazden, 1979), the mother points and asks 'What is x?' and the child vocalizes and/or gives a nonverbal signal of attention. The mother then provides verbal feedback and a label. Before the age of two, the child is socialized into the 'initiation-reply-evaluation sequences' repeatedly described as the central structural feature of classroom lessons (e.g. Sinclair & Coulthard, 1975; Griffin & Humphrey, 1978; Mehan, 1979). Teachers ask their students questions which have answers prespecified in the mind of the teacher. Students respond, and teachers provide feedback, usually in the form of an evaluation. Training in ways of responding to this pattern begins very early in the labelling activities of mainstream parents and children.

### Mainstream ways

This patterning of 'incipient literacy' (Scollon & Scollon, 1979) is similar in many ways to that of the families of fifteen primary-level school teachers in

Maintown, a cluster of middle-class neighborhoods in a city of the Piedmont Carolinas. These families (all of whom identify themselves as 'typical', 'middle-class', or 'mainstream'), had preschool children, and the mother in each family was either teaching in local public schools at the time of the study (early 1970s), or had taught in the academic year preceding participation in the study. Through a research dyad approach, using teacher-mothers as researchers with the ethnographer, the teacher-mothers audio-recorded their children's interactions in their primary network — mothers, fathers, grandparents, maids, siblings, and frequent visitors to the home. Children were expected to learn the following rules in literacy events in these nuclear households:

- (1) As early as six months of age, children give attention to books and information derived from books. The rooms contain bookcases and are decorated with murals, bedspreads, mobiles, and stuffed animals which represent characters found in books. Even when these characters have their origin in television programs, adults also provide books which either repeat or extend the characters' activities on television.
- (2) Children, from the age of six months, acknowledge questions about books. Adults expand nonverbal responses and vocalizations from infants into fully formed grammatical sentences. When children begin to verbalize about the contents of books, adults extend their questions from simple requests for labels (What's that? Who's that?) to ask about the attributes of these items (What does the doggie say? What color is the ball?).
- (3) From the time they start to talk, children respond to conversational allusions to the content of books; they act as question-answerers who have a knowledge of books. For example, a fuzzy black dog on the street is likened by an adult to Blackie in a child's book: 'Look, there's a Blackie. Do you think he's looking for a boy?'. Adults strive to maintain with children a running commentary on any event or object which can be book-related, thus modelling for them the extension of familiar items and events from books to new situational contexts.
- (4) Beyond two years of age, children use their knowledge of what books do to legitimate their departures from 'truth'. Adults encourage and reward 'book talk', even when it is not directly relevant to an ongoing conversation. Children are allowed to suspend reality, to tell stories which are not true, to ascribe fiction-like features to everyday objects.
- (5) Preschool children accept book and book-related activities as entertainment. When preschoolers are 'captive audiences' (e.g. waiting in a doctor's office, putting a toy together, or preparing for bed), adults reach for books. If there are no books present, they talk about other objects as though they were pictures in books. For example, adults point to

items, and ask children to name, describe, and compare them to familiar objects in their environment. Adults often ask children to state their likes or dislikes, their view of events, and so forth, at the end of the captive audience period. These affective questions often take place while the next activity is already underway (e.g. moving toward the doctor's office, putting the new toy away, or being tucked into bed), and adults do not insist on answers.

- (6) Preschoolers announce their own factual and fictive narratives unless they are given in response to direct adult elicitation. Adults judge as most acceptable those narratives which open by orienting the listener to setting and main character. Narratives which are fictional are usually marked by formulaic openings, a particular prosody, or the borrowing of episodes in story books.
- (7) When children are about three years old, adults discourage the highly interactive participative role in bookreading children have hitherto played and children listen and wait as an audience. No longer does either adult or child repeatedly break into the story with questions and comments. Instead, children must listen, store what they hear, and on cue from the adult, answer a question. Thus, children begin to formulate 'practice' questions as they wait for the break and the expected formulaic-type questions from the adult. It is at this stage that children often choose to 'read' to adults rather than to be read to.

A pervasive pattern of all these features is the authority which books and book-related activities have in the lives of both the preschoolers and members of their primary network. Any initiation of a literacy event by a preschooler makes an interruption, an untruth, a diverting of attention from the matter at hand (whether it be an uneaten plate of food, a messy room, or an avoidance of going to bed) acceptable. Adults jump at openings their children give them for pursuing talk about books and reading.

In this study, writing was found to be somewhat less acceptable as an 'anytime activity', since adults have rigid rules about times, places, and materials for writing. The only restrictions on bookreading concern taking good care of books: they should not be wet, torn, drawn on, or lost. In their talk to children about books, and in their explanations of why they buy children's books, adults link school success to 'learning to love books', 'learning what books can do for you', and 'learning to entertain yourself and to work independently'. Many of the adults also openly expressed a fascination with children's books 'nowadays'. They generally judged them as more diverse, wide-ranging, challenging, and exciting than books they had as children.

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value

Relate to the discussion

*The mainstream pattern*

A close look at the way bedtime story routines in Maintown taught children how to take meaning from books raises a heavy sense of the familiar in all of us who have acquired mainstream habits and values. Throughout a lifetime, any school-successful individual moves through the same processes described above thousands of times. Reading for comprehension involves an internal replaying of the same types of questions adults ask children of bedtime stories. We seek *what-explanations*, asking what the topic is, establishing it as predictable and recognizing it in new situational contexts by classifying and categorizing it in our mind with other phenomena. The *what-explanation* is replayed in learning to pick out topic sentences, write outlines, and answer standardized tests which ask for the correct titles to stories, and so on. In learning to read in school, children move through a sequence of skills designed to teach *what-explanations*. There is a tight linear order of instruction which recapitulates the bedtime story pattern of breaking down the story into small bits of information and teaching children to handle sets of related skills in isolated sequential hierarchies.

In each individual reading episode in the primary years of schooling, children must move through *what-explanations* before they can provide *reason-explanations* or *affective commentaries*. Questions about why a particular event occurred or why a specific action was right or wrong come at the end of primary-level reading lessons, just as they come at the end of bedtime stories. Throughout the primary grade levels, *what-explanations* predominate, *reason-explanations* come with increasing frequency in the upper grades, and *affective comments* most often come in the extra-credit portions of the reading workbook or at the end of the list of suggested activities in text books across grade levels. This sequence characterizes the total school career. High school freshmen who are judged poor in compositional and reading skills spend most of their time on *what-explanations* and practice in advanced versions of bedtime story questions and answers. They are given little or no chance to use *reason-giving explanations* or assessments of the actions of stories. *Reason-explanations* result in configurational rather than hierarchical skills, are not predictable, and thus do not present content with a high degree of redundancy. *Reason-giving explanations* tend to rely on detailed knowledge of a specific domain. This detail is often unpredictable to teachers, and is not as highly valued as is knowledge which covers a particular area of knowledge with less detail but offers opportunity for extending the knowledge to larger and related concerns. For example, a primary-level student whose father owns a turkey farm may respond with *reason-explanations* to a story about a turkey. His knowledge is intensive and covers details perhaps not known to the teacher and not judged as relevant to the story. The knowledge is unpredictable and questions about it do not continue to repeat the common core of content knowledge of the story. Thus such

configured knowledge is encouraged only for the 'extras' of reading — an extra-credit oral report or a creative picture and story about turkeys. This kind of knowledge is allowed to be used once the hierarchical *what-explanations* have been mastered and displayed in a particular situation and, in the course of one's academic career, only when one has shown full mastery of the hierarchical skills and subsets of related skills which underlie *what-explanations*. Thus, reliable and successful participation in the ways of taking from books that teachers view as natural must, in the usual school way of doing things, precede other ways of taking from books.

Close analyses of how mainstream school-oriented children come to learn to take from books at home suggest that such children learn not only how to take meaning from books, but also how to talk about it. In doing the latter, they repeatedly practice routines which parallel those of classroom interaction. By the time they enter school, they have had continuous experience as information-givers; they have learned how to perform in those interactions which surround literate sources throughout school. They have had years of practice in interaction situations that are the heart of reading — both learning to read and reading to learn in school. They have developed habits of performing which enable them to run through the hierarchy of preferred knowledge about a literate source and the appropriate sequence of skills to be displayed in showing knowledge of a subject. They have developed ways of decontextualizing and surrounding with explanatory prose the knowledge gained from selective attention to objects.

They have learned to listen, waiting for the appropriate cue which signals it is their turn to show off this knowledge. They have learned the rules for getting certain services from parents (or teachers) in the reading interaction (Merritt, 1979). In nursery school, they continue to practice these interaction patterns in a group rather than in a dyadic situation. There they learn additional signals and behaviors necessary for getting a turn in a group, and responding to a central reader and to a set of centrally defined reading tasks. In short, most of their waking hours during the preschool years have enculturated them into:

- (1) all those habits associated with *what-explanations*,
- (2) selective attention to items of the written text, and
- (3) appropriate interactional styles for orally displaying all the know-how of their literate orientation to the environment.

This learning has been finely tuned and its habits are highly interdependent. Patterns of behaviors learned in one setting or at one stage reappear again and again as these children learn to use oral and written language in

literacy events and to bring their knowledge to bear in school-acceptable ways.

### Alternative Patterns of Literacy Events

But what corresponds to the mainstream pattern of learning in communities that do not have this finely tuned, consistent, repetitive, and continuous pattern of training? Are there ways of behaving which achieve other social and cognitive aims in other sociocultural groups?

The data below are summarized from an ethnography of two communities — Roadville and Trackton — located only a few miles from Maintown's neighborhoods in the Piedmont Carolinas. Roadville is a white working-class community of families steeped for four generations in the life of the textile mill. Trackton is a working-class black community whose older generations have been brought up on the land, either farming their own land or working for other landowners. However, in the past decade, they have found work in the textile mills. Children of both communities are unsuccessful in school; yet both communities place a high value on success in school, believing earnestly in the personal and vocational rewards school can bring and urging their children 'to get ahead' by doing well in school. Both Roadville and Trackton are literate communities in the sense that the residents of each are able to read printed and written materials in their daily lives, and on occasion they produce written messages as part of the total pattern of communication in the community. In both communities, children go to school with certain expectancies of print and, in Trackton especially, children have a keen sense that reading is something one does to learn something one needs to know (Heath, 1980). In both groups, residents turn from spoken to written uses of language and vice versa as the occasion demands, and the two modes of expression seem to supplement and reinforce each other. Nonetheless there are radical differences between the two communities in the ways in which children and adults interact in the preschool years; each of the two communities also differs from Maintown. Roadville and Trackton view children's learning of language from two radically different perspectives: in Trackton, children 'learn to talk', in Roadville, adults 'teach them how to talk'.

#### Roadville

In Roadville, babies are brought home from the hospital to rooms decorated with colorful, mechanical, musical, and literacy-based stimuli. The walls are decorated with pictures based on nursery rhymes, and from an early age, children are held and prompted to 'see' the wall decorations. Adults recite nursery rhymes as they twirl the mobile made of nursery-

### NARRATIVE SKILLS

rhyme characters. The items of the child's environment promote exploration of colors, shapes, and textures: a stuffed ball with sections of fabrics of different colors and textures is in the crib; stuffed animals vary in texture, size, and shape. Neighbors, friends from church, and relatives come to visit and talk to the baby, and about him to those who will listen. The baby is fictionalized in the talk to him: 'But this baby wants to go to sleep, doesn't he? Yes, see those little eyes gettin' heavy.' As the child grows older, adults pounce on word-like sounds and turn them into 'words', repeating the 'words', and expanding them into well-formed sentences. Before they can talk, children are introduced to visitors and prompted to provide all the expected politeness formulas, such as 'Bye-bye', 'Thank you', and so forth. As soon as they can talk, children are reminded about these formulas, and book or television characters known to be 'polite' are involved as reinforcement.

In each Roadville home, preschoolers first have cloth books, featuring a single object on each page. They later acquire books which provide sounds, smells, and different textures or opportunities for practicing small motor skills (closing zippers, buttoning buttons, etc.). A typical collection for a two-year-old consisted of a dozen or so books — eight featured either the alphabet or numbers, others were books of nursery rhymes, simplified Bible stories, or 'real-life' stories about boys and girls (usually taking care of their pets or exploring a particular feature of their environment). Books based on Sesame Street characters were favorite gifts for three- and four-year-olds.

Reading and reading-related activities occur most frequently before naps or at bedtime in the evening. Occasionally an adult or older child will read to a fussy child while the mother prepares dinner or changes a bed. On weekends, fathers sometimes read with their children for brief periods of time, but they generally prefer to play games or play with the children's toys in their interactions.

Bookreading time focuses on letters of the alphabet, numbers, names of basic items pictured in books, and simplified retellings of stories in the words of the adult. If the content or story plot seems too complicated for the child, the adult tells the story in short, simple sentences, frequently laced with requests that the child give what-explanations.

In Roadville's literacy events, the rules for cooperative discourse around print are repeatedly practiced, coached, and rewarded in the preschool years. Adults in Roadville believe that instilling in children the proper use of words and understanding of the meaning of the written word are important for both their educational and religious success. Adults repeat aspects of the learning of literacy events they have known as children. In the words of one Roadville parent: 'It was then that I began to learn . . . when my daddy kept insisting I *read* it, say it right. It was then that I *did* right, in his view.'

The path of development for such performance can be described in three overlapping stages. In the first, children are introduced to discrete bits and pieces of books—separate items, letters of the alphabet, shapes, colors, and commonly represented items in books for children (apple, baby, ball, etc.). The latter are usually decontextualized, not pictured in their ordinary contexts, and they are represented in two-dimensional flat line drawings. During this stage, children must participate as predictable information-givers and respond to questions that ask for specific and discrete bits of information about the written matter. In these literacy events, specific features of the two-dimensional items in books which are different from their 'real' counterparts are not pointed out. A ball in a book is flat; a duck in a book is yellow and fluffy; trucks, cars, dogs, and trees talk in books. No mention is made of the fact that such features do not fit these objects in reality. Children are not encouraged to move their understanding of books into other situational contexts or to apply it in their general knowledge of the world about them.

In the second stage, adults demand an acceptance of the power of print to entertain, inform, and instruct. When [children can] no longer participate by contributing their knowledge at any point in the literacy event, they learn to recognize bookreading as a performance. The adult exhibits the book to [the child; the child is] to be entertained, to learn from the information conveyed in the material, and to remember the book's content for the sequential follow-up questioning, as opposed to ongoing cooperative participatory questions.

In the third stage, [children are] introduced to preschool workbooks which provided story information and are asked questions or provided exercises and games based on the content of the stories or pictures. Follow-the-number coloring books and preschool 'push-out and paste' workbooks on shapes, colors, and letters of the alphabet reinforce repeatedly that the written word can be taken apart into small pieces and one item linked to another by following rules. [Children are given] practice in the linear, sequential nature of books: begin at the beginning, stay in the lines for coloring, draw straight lines to link one item to another, write your answers on lines, keep your letters straight, match the cutout letter to diagrams of letter shapes.

The differences between Roadville and Maintown are substantial. Roadville adults do not extend either the content or the habits of literacy events beyond bookreading. They do not, upon seeing an item or event in the real world, remind children of a similar event in a book and launch a running commentary on similarities and differences. When a game is played or a chore done, adults do not use literate sources. Mothers cook without written recipes most of the time; if they use a recipe from a written source, they do so usually only after confirmation and alteration by friends who have tried

the recipe. Directions to games are read, but not carefully followed, and they are not talked about in a series of questions and answers which try to establish their meaning. Instead, in the putting together of toys or the playing of games, the abilities or preferences of one party prevail. For example, if an adult knows how to put a toy together, he does so; he does not talk about the process, refer to the written material and 'translate' for the child, or try to sequence steps so the child can do it.<sup>3</sup>

Adults at tasks do not provide a verbal commentary on what they are doing. They do not draw the attention of the child to specific features of the sequences of skills or the attributes of items. They do not ask questions of the child, except questions which are directive or scolding in nature. Explanations which move beyond the listing of names of items and their features are rarely offered by adults. Children do not ask questions of the type 'But I don't understand. What is that?' They appear willing to keep trying, and if there is ambiguity in a set of commands, they ask a question such as 'You want me to do this?' (demonstrating their current efforts), or they try to find a way of diverting attention from the task at hand.

Roadville parents provide their children with books; they read to them and ask questions about the books' contents. They choose books which emphasize nursery rhymes, alphabet learning, animals, and simplified Bible stories, and they require their children to repeat from these books and to answer formulaic questions about their contents. Roadville adults also ask questions about oral stories which have a point relevant to some marked behavior of a child. They use proverbs and summary statements to remind their children of stories and to call on them for simple comparisons of the stories' contents to their own situations. Roadville parents coach children in their telling of a story, forcing them to tell about an incident as it has been pre-composed or pre-scripted in the head of the adult. Thus, in Roadville, children come to know a story as either an accounting from a book, or a factual account of a real event in which some type of marked behavior occurred and there is a lesson to be learned. Any fictionalized account of a real event is viewed as a *lie*; reality is better than fiction. Roadville's church and community life admit no story other than that which meets the definition internal to the group. Thus children cannot decontextualize their knowledge or fictionalize events known to them and shift them about into other frames.

When these children go to school they perform well in the initial stages of each of the three early grades. They often know portions of the alphabet, some colors and numbers, can recognize their names, and tell some their address and their parents' names. They will sit still and listen to a story, and they know how to answer questions asking for what-explanations. They do well in reading workbook exercises which ask for identification of specific

portions of words, items from the story, or the linking of two items, letters, or parts of words on the same page. When the teacher reaches the end of story-reading or the reading circle and asks questions such as 'What did you like about the story?', relatively few Roadville children answer. If asked questions such as 'What would you have done if you had been Billy [story's main character]?', Roadville children most frequently say 'I don't know' or shrug their shoulders.

Near the end of each year, and increasingly as they move through the early primary grades, Roadville children can handle successfully the initial stages of lessons. But when they move ahead to extra-credit items or to activities considered more advanced and requiring more independence, they are stumped. They turn frequently to teachers asking 'Do you want me to do this? What do I do here?' If asked to write a creative story or tell it into a tape recorder, they retell stories from books; they do not create their own. They rarely provide emotional or personal commentary on their accounting of real events or book stories. They are rarely able to take knowledge learned in one context and shift it to another; they do not compare two items or events and point out similarities and differences. They find it difficult either to hold one feature of an event constant and shift all others or to hold all features constant but one. For example, they are puzzled by questions such as 'What would have happened if Billy had not told the policemen what happened?' They do not know how to move events or items out of a given frame. To a question such as 'What habits of the Hopi Indians might they be able to take with them when they move to a city?', they provide lists of features of life of the Hopi on the reservation. They do not take these items, consider their appropriateness in an urban setting, and evaluate the hypothetical outcome. In general, they find this type of question impossible to answer, and they do not know how to ask teachers to help them take apart the questions to figure out the answers. Thus their initial successes in reading, being good students, following orders, and adhering to school norms of participating in lessons begin to fall away rapidly about the time they enter the fourth grade. As the importance and frequency of questions and reading habits with which they are familiar decline in the higher grades, they have no way of keeping up or of seeking help in learning what it is they do not even know they don't know.

#### Trackton

Babies in Trackton come home from the hospital to an environment which is almost entirely human. There are no cribs, car beds, or car sets, and only an occasional high chair or infant seat. Infants are held during their waking hours, occasionally while they sleep, and they usually sleep in the bed with parents until they are about two years of age. They are held, their faces fondled, their cheeks pinched, and they eat and sleep in the midst of

human talk and noise from the television, stereo, and radio. Encapsulated in an almost totally human world, they are in the midst of constant human communication, verbal and nonverbal. They literally feel the body signals of shifts in emotion of those who hold them almost continuously; they are talked about and kept in the midst of talk about topics that range over any subject. As children make cooing or babbling sounds, adults refer to this as 'noise', and no attempt is made to interpret these sounds as words or communicative attempts on the part of the baby. Adults believe they should not have to depend on their babies to tell them what they need or when they are uncomfortable; adults know, children only 'come to know'.

When a child can crawl and move about on his own, he plays with the household objects deemed safe for him — pot lids, spoons, plastic food containers. Only at Christmastime are there special toys for very young children; these are usually trucks, balls, doll babies, or plastic cars, but rarely blocks, puzzles, or books. As children become completely mobile, they demand ride toys or electronic and mechanical toys they see on television. They never request nor do they receive manipulative toys, such as puzzles, blocks, take-apart toys or literacy-based items, such as books or letter games.

Adults read newspapers, mail, calendars, circulars (political and civic-events related), school materials sent home to parents, brochures advertising new cars, television sets, or other products, and the Bible and other church-related materials. There are no reading materials especially for children (with the exception of children's Sunday School materials), and adults do not sit and read to children. Since children are usually left to sleep whenever and wherever they fall asleep, there is no bedtime or naptime as such. At night, they are put to bed when the adults go to bed or whenever the person holding them gets tired. Thus, going to bed is not framed in any special routine. Sometimes in a play activity during the day, an older sibling will read to a younger child, but the latter soon loses interest and squirms away to play. Older children often try to 'play school' with younger children, reading to them from books and trying to ask questions about what they have read. Adults look on these efforts with amusement and do not try to convince the small child to sit still and listen.

Signs from very young children of attention to the nonverbal behaviors of others are rewarded by extra fondling, laughter, and cuddling from adults. For example, when an infant shows signs of recognizing a family member's voice on the phone by bouncing up and down in the arms of the adult who is talking on the phone, adults comment on this to others present and kiss and nudge the child. Yet when children utter sounds or combinations of sounds which could be interpreted as words, adults pay no attention. Often by the time they are twelve months old, children approximate words or

phrases of adults' speech; adults respond by laughing or giving special attention to the child and crediting him with 'sounding like' the person being imitated. When children learn to walk and imitate the walk of members of the community, they are rewarded by comments on their activities: 'He walks just like Toby when he's tuckered out.'

Children between the ages of twelve and twenty-four months often imitate the tune or 'general Gestalt' (Peters, 1977) of complete utterances they hear around them. They pick up and repeat chunks (usually the ends) of phrasal and clausal utterances of speakers around them. They seem to remember fragments of speech and repeat these without active production. In this first stage of language learning, the repetition stage, they imitate the intonation contours and general shaping of the utterances they repeat. Lem 1;2 in the following example illustrates this pattern:

Mother: [talking to neighbor on porch while Lem plays with a truck on the porch nearby] But they won't call back, won't happen =  
 Lem: = call back  
 Neighbor: Sam's going over there Saturday, he'll pick up a form =  
 Lem: = pick up on, pick up on [Lem here appears to have heard *form as on*]

The adults pay no attention to Lem's 'talk', and their talk, in fact, often overlaps his repetitions.

In the second stage, repetition with variations, Trackton children manipulate pieces of conversation they pick up. They incorporate chunks of language from others into their own ongoing dialogue, applying productive rules, inserting new nouns and verbs for those used in the adults' chunks. They also play with rhyming patterns and varying intonation contours.

Mother: She went to the doctor again.  
 Lem (2;2): [in a sing-song fashion] went to de doctor, doctor, tractor, dis my tractor, doctor on a tractor, went to de doctor.

Lem creates a monologue, incorporating the conversation about him into his own talk as he plays. Adults pay no attention to his chatter unless it gets so noisy as to interfere with their talk.

In the third stage, participation, children begin to enter the ongoing conversations about them. They do so by attracting the adult's attention with a tug on the arm or pant leg, and they help make themselves understood by providing nonverbal reinforcements to help recreate a scene they want the listener to remember. For example, if adults are talking, and a child interrupts with seemingly unintelligible utterances, the child will make gestures, extra sounds, or act out some outstanding features of the scene he is trying to get the adult to remember. Children try to create a context, a scene, for the understanding of their utterance.

This third stage illustrates a pattern in the children's response to their environment and their ways of letting others know their knowledge of the environment. Once they are in the third stage, their communicative efforts are accepted by community members, and adults respond directly to the child, instead of talking to others about the child's activities as they have done in the past. Children continue to practice for conversational participation by playing, when alone, both parts of dialogues, imitating gestures as well as intonation patterns of adults. By 2;6 all children in the community can imitate the walk and talk of others in the community, or frequent visitors such as the man who comes around to read the gas meters. They can feign anger, sadness, fussing, remorse, silliness, or any of a wide range of expressive behaviors. They often use the same chunks of language for varying effects, depending on nonverbal support to give the language different meanings or case it in a different key (Hymes, 1974). Girls between three and four years of age take part in extraordinarily complex stepping and clapping patterns and simple repetitions of hand clap games played by older girls. From the time they are old enough to stand alone, they are encouraged in their participation by siblings and older children in the community. These games require anticipation and recognition of cues for upcoming behaviors, and the young girls learn to watch for these cues and to come in with the appropriate words and movements at the right time.

Preschool children are not asked for what-explanations of their environment. Instead, they are asked a preponderance of analogical questions which call for non-specific comparisons of one item, event, or person with another: 'What's that like?' Other types of questions ask for specific information known to the child but not the adults: 'Where'd you get that from?' 'What do you want?' 'How come you did that?' (Heath, 1982). Adults explain their use of these types of questions by expressing their sense of children: they are 'comers', coming into their learning by experiencing what knowing about things means. As one parent of a two-year-old boy put it: 'Ain't no use me tellin' 'im: learn this, learn that, what's this, what's that? He just gotta learn, gotta know; he see one thing one place one time, he know how it go, see sump'n like it again, maybe it be the same, maybe it won't.' Children are expected to learn how to know when the form belies the meaning, and to know contexts of items and to use their understanding of these contexts to draw parallels between items and events. Parents do not believe they have a tutoring role in this learning; they provide the experiences on which the child draws and reward signs of their successfully coming to know.

Trackton children's early stories illustrate how they respond to adult views of them as 'comers'. The children learn to tell stories by drawing heavily on their abilities to render a context, to set a stage, and to call on the audience's power to join in the imaginative creation of story. Between the ages of two and four years, the children, in a monologue-like fashion, tell stories



about things in their lives, events they see and hear, and situations in which they have been involved. They produce these spontaneously during play with other children or in the presence of adults. Sometimes they make an effort to attract the attention of listeners before they begin the story, but often they do not. Lem, playing off the edge of the porch, when he was about two and a half years of age, heard a bell in the distance. He stopped, looked at Nellie and Benjy, his older siblings, who were nearby and said:

Way  
Far  
Now  
It a church bell  
Ringin'  
Dey singin'  
Ringin'  
You hear it?  
I hear it  
Far  
Now

Lem had been taken to church the previous Sunday and had been much impressed by the church bell. He had sat on his mother's lap and joined in the singing, rocking to and fro on her lap, and clapping his hands. His story, which is like a poem in its imagery and line-like prosody, is in response to the current stimulus of a distant bell. As he tells the story, he sways back and forth.

This story, somewhat longer than those usually reported from other social groups for children as young as Lem,<sup>4</sup> has some features which have come to characterize fully-developed narratives or stories. It capitulates in its verbal outline the sequence of events being recalled by the storyteller. At church, the bell rang while the people sang. In the line 'It a church bell', Lem provides his story's topic, and a brief summary of what is to come. This line serves a function similar to the formulae often used by older children to open a story: 'This is a story about (a church bell)'. Lem gives only the slightest hint of story setting or orientation to the listener; where and when the story took place are capsuled in 'Way, Far'. Preschoolers in Trackton almost never hear 'Once upon a time there was a . . . ' stories, and they rarely provide definitive orientations for their stories. They seem to assume listeners 'Know' the situation in which the narrative takes place. Similarly, preschoolers in Trackton do not close off their stories with formulaic endings. Lem poetically balances his opening and closing in an inclusion, beginning 'Way, Far, Now' and ending 'Far, Now'. The effect is one of closure, but there is no clearcut announcement of closure. Throughout the presentation of action and result of action in their stories, Trackton preschoolers invite the audience to respond or evaluate the story's actions. Lem asks 'You hear it?' which may refer either to the current stimulus or to yesterday's bell, since

Lem does not productively use past tense endings for any verbs at this stage in his language development.

Preschooler storytellers have several ways of inviting audience evaluation and interest. They may themselves express an emotional response to the story's actions; they may have another character or narrator in the story do so often using alliterative language play; or they may detail actions and results through direct discourse or sound effects and gestures. All these methods of calling attention to the story and its telling distinguish the speech event as a story, an occasion for audience and storyteller to interact pleasantly, and not simply to hear an ordinary recounting of events or actions.

Trackton children must be aggressive in inserting their stories into an ongoing stream of discourse. Storytelling is highly competitive. Everyone in a conversation may want to tell a story, so only the most aggressive wins out. The content ranges widely, and there is 'truth' only in the universals of human experience. Fact is often hard to find, though it is usually the seed of the story. Trackton stories often have no point — no obvious beginning or ending; they go on as long as the audience enjoys and tolerates the storyteller's entertainment.

Trackton adults do not separate out the elements of the environment around their children to tune their attentions selectively. They do not simplify their language, focus on single-word utterances by young children, label items or features of objects in either books or the environment at large. Instead, children are continuously contextualized, presented with almost continuous communication. From this ongoing, multiple-channeled stream of stimuli, they must themselves select, practice, and determine rules of production and structuring. For language, they do so by first repeating, catching chunks of sounds, intonation contours, and practicing these without specific reinforcement or evaluation. But practice material and models are continuously available. Next the children seem to begin to sort out the productive rules for speech and practice what they hear about them with variation. Finally, they work their way into conversations, hooking their meanings for listeners into a familiar context by recreating scenes through gestures, special sound effects, etc. These characteristics continue in their story-poems and their participation in jump-rope rhymes. Because adults do not select out, name, and describe features of the environment for the young, children must perceive situations, determine how units of the situations are related to each other, recognize these relations in other situations, and reason through what it will take to show their correlation of one situation with another. The children can answer questions such as 'What's that like?' ('It's like Doug's car') but they can rarely name the specific feature or features which make two items or events alike. For example, in the case of saying a car seen on the street is 'like Doug's car', a child may be basing the

original  
story  
copy from  
inquiry

analogy on the fact that this car has a flat tyre and Doug's also had one last week. But the child does not name (and is not asked to name) what is alike between the two cats.

Children seem to develop connections between situations or items not by specification of labels and features in the situations, but by configuration links. Recognition of similar general shapes or patterns of links seen in one situation and connected to another, seem to be the means by which children set scenes in their nonverbal representations of individuals, and later in their verbal chunking, then segmentation and production of rules for putting together isolated units. They do not decontextualize; instead they heavily contextualize nonverbal and verbal language. They fictionalize their 'true stories', but they do so by asking the audience to identify with the story through making parallels from their own experiences. When adults read, they often do so in a group. One person, reading aloud, for example, from a brochure on a new car decodes the text, displays illustrations and photographs, and listeners relate the text's meaning to their experiences asking questions and expressing opinions. Finally, the group as a whole synthesizes the written text and the negotiated oral discourse to construct a meaning for the brochure (Heath, 1982).

When Trackton children go to school, they face unfamiliar types of questions which ask for what-explanations. They are asked as individuals to identify items by name, and to label features such as shape, color, size, number. The stimuli to which they are to give these responses are two-dimensional flat representations which are often highly stylized and bear little resemblance to the 'real' items. Trackton children generally score in the lowest percentile range on the Metropolitan Reading Readiness tests. They do not sit at their desks and complete reading workbook pages: neither do they tolerate questions about reading materials which are structured along the usual lesson format. Their contributions are in the form of 'I had a duck at my house one time', 'Why'd he do that?' or they imitate the sound effects teachers may produce in stories they read to the children. By the end of the first three primary grades, their general language arts scores have been consistently low, except for those few who have begun to adapt to and adopt some of the behaviors they have had to learn in school. But the majority not only fail to learn the content of lessons, they also do not adopt the social interactional rules for school literacy events. Print in isolation bears little authority in their world. The kinds of questions asked of reading books are unfamiliar. The children's abilities to metaphorically link two events or situations and to recreate scenes are not tapped in the school; in fact, *these abilities often cause difficulties*, because they enable children to see parallels teachers did not intend, and indeed, may not recognize until the children point them out (Heath, 1978).

By the end of the lessons or by the time in their total school career when reason-explanations and affective statements call for the creative comparison of two or more situations, it is too late for many Trackton children. They have not picked up along the way the composition and comprehension skills they need to translate their analogical skills into a channel teachers can accept. They seem not to know how to take meaning from reading; they do not observe the rules of linearity in writing, and their expression of themselves on paper is very limited. Orally taped stories are often much better, but these rarely count as much as written compositions. Thus, Trackton children continue to collect very low or failing grades, and many decide by the end of the sixth grade to stop trying and turn their attention to the heavy peer socialization which usually begins in these years.

### From Community to Classroom

A recent review of trends in research on learning pointed out that 'learning to read through using and learning from language has been less systematically studied than the decoding process' (Glaser, 1979: 7). Put another way, how children learn to use language to read to learn has been less systematically studied than decoding skills. Learning how to take meaning from writing before one learns to read involves repeated practice in using and learning from language through appropriate participation in literacy events such as exhibitor/questioner and spectator/respondent dyads (Scollon & Scollon, 1979) or group negotiation of the meaning of a written text. Children have to learn to select, hold, and retrieve content from books and other written or printed texts in accordance with their community's rules or 'ways of taking', and the children's learning follows community paths of language socialization. In each society, certain kinds of childhood participation in literacy events may precede others, as the developmental sequence builds toward the whole complex of home and community behaviors characteristic of the society. The ways of taking employed in the school may in turn build directly on the preschool development, may require substantial adaptation of the preschool development, may require substantial adaption on the part of the children, or may even run directly counter to aspects of the community's pattern.

In the early reading stages, and in later requirements for reading to learn at more advanced stages, children from the three communities respond differently, because they have learned different methods and degrees of taking from books. In comparison to Maintown children, the habits Roadville children learned in bookreading and toy-related episodes have not continued for them through other activities and types of reinforcement in their

environment. They have had less exposure to both the content of books and ways of learning from books than have mainstream children. Thus their need in schools is not necessarily for an intensification of presentation of labels, a slowing down of the sequence of introducing what-explanations in connection with bookreading. Instead they need *extension of these habits to other domains* and to opportunities for practicing habits such as producing running commentaries, creating exhibitor/questioner and spectator/responder roles. Perhaps most important, Roadville children need to have articulated for them *distinctions in discourse strategies and structures*. Narratives of real events have certain strategies and structures; imaginary tales, flights of fancy, and affective expressions have others. Their community's view of narrative discourse style is very narrow and demands a passive role in both creation of and response to the account of events. Moreover, these children have to be reintroduced in a participant frame of reference to a book. Though initially they were participants in bookreading, they have been trained into passive roles since the age of three years, and they must learn once again to be active information-givers, taking from books and linking that knowledge to other aspects of their environment.

Trackton students present an additional set of alternatives for procedures in the early primary grades. Since they usually have few of the expected 'natural' skills of taking meaning from books, they must not only learn these, but also *retain their analogical reasoning practices* for use in some of the later stages of learning to read. They must *learn to adapt the creativity in language, metaphor, fictionalization, recreation of scenes and exploration of functions and settings of items they bring to school*. These children already use narrative skills highly rewarded in the upper primary grades. They distinguish a fictionalized story from a real-life narrative. They know that telling a story can be in many ways related to play; it suspends reality, and frames an old event in a new context; it calls on audience participation to recognize the setting and participants. They must now *learn as individuals to recount factual events in a straightforward way and recognize appropriate occasions for reason-explanations and affective expressions*. Trackton children seem to have skipped learning to label, list features, and give what-explanations. Thus they need to *have the mainstream or school habits presented in familiar activities with explanations related to their own habit of taking meaning from the environment*. Such 'simple', 'natural' things as distinctions between two-dimensional and three-dimensional objects may need to be explained to help Trackton children learn the stylization and decontextualization which characterizes books.

To lay out in more specific detail how Roadville and Trackton's ways of knowing can be used along with those of mainstreamers goes beyond the scope of this paper. However, it must be admitted that a range of alternatives to ways of learning and displaying knowledge characterizes all highly

school-successful adults in the advanced stages of their careers. Knowing more about how these alternatives are learned at early ages in different sociocultural conditions can help the school to provide opportunities for *all* students to avail themselves of these alternatives early in their school careers.

### Notes

1. First presented at the Terman Conference on Teaching at Stanford University, 1980, this paper has benefitted from cooperation with M. Cochran-Smith of the University of Pennsylvania. She shares an appreciation of the relevance of Roland Barthes' work for studies of the socialization of young children into literacy; her research (1981) on the story-reading practices of a mainstream school-oriented nursery school provides a much needed detailed account of early school orientation to literacy.
2. Terms such as *mainstream* or *middle-class* cultures or social groups are frequently used in both popular and scholarly writings without careful definition. Moreover, numerous studies of behavioral phenomena (for example, mother-child interactions in language learning) either do not specify that the subjects being described are drawn from mainstream groups or do not recognize the importance of this limitation. As a result, findings from this group are often regarded as universal. For a discussion of this problem, see Chanan & Gilchrist, 1974; Payne & Bennett, 1977. In general, the literature characterizes this group as school-oriented, aspiring toward upward mobility through formal institutions, and providing enculturation which positively values routines of promptness, linearity (in habits ranging from furniture arrangement to entrance into a movie theatre), and evaluative and judgmental responses to behaviors which deviate from their norms.
3. In the United States, mainstream families tend to locate in neighborhoods and suburbs around cities. Their social interactions center not in their immediate neighborhoods, but around voluntary associations across the city. Thus a cluster of mainstream families (and not a community — which usually implies a specific geographic territory as the locus of a majority of social interactions) is the unit of comparison used here with the Trackton and Roadville communities.
4. Behind this discussion are findings from cross-cultural psychologists who have studied the links between verbalization of task and demonstration of skills in a hierarchical sequence, e.g. Childs & Greenfield, 1980; see Goody, 1979 on the use of questions in learning tasks unrelated to a familiarity with books.
4. Cf. Umiker-Sebeok's (1979) descriptions of stories of mainstream middle-class children, ages 3–5 and Sutton-Smith, 1981.

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